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PATENTS
CF/019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.
Application No. : 09/853,430
Confirmation No. : 6761
Filed : May 10, 2001
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING
Group Art Unit : 2164

New York, New York 10020
August 7, 2001

Hon. Commissioner
for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice to File Missing Parts
of Application mailed July 9, 2001, applicants hereby
submit the following:

1. A copy of the July 9, 2001 Notice to File
Missing Parts of Application;
2. Executed Declaration and Power of
Attorney;
4. Drawings in compliance with 37 CFR 1.84;
and
3. A check in amounts of \$130.00 for the
surcharge.

The Director is authorized to charge any additional fees that may be due or credit any overpayment in connection with this Response to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,




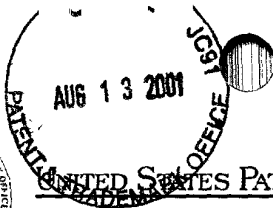
Matthew R. Byrne
Reg. No. 40,934
Attorney for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000

I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on

August 7, 2001

Lillian Garcia


Signature of Person Signing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,430	05/10/2001	Philip M. Ginsberg	CF/019

CONFIRMATION NO. 6761

FORMALITIES LETTER



OC000000006268728

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105

Date Mailed: 07/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

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130.00 OP

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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